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Serial Number: 09/933,708  
Atty. No. 54719.000028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Thomas PICCARIELLO et al. ) Group Art Unit: 1653  
Serial Number: 09/933,708 ) Examiner: Unassigned  
Filed: August 22, 2001 )  
For: ACTIVE AGENT DELIVERY SYSTEMS AND METHODS FOR PROTECTING  
AND ADMINISTERING ACTIVE AGENTS

**Mail Stop Sequence**  
Commissioner for Patents  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115 AND RESPONSE TO**  
**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS**  
**CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Sir:

**Introductory Comments**

In response to the attached U.S. Patent and Trademark Office (PTO) Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed March 4, 2003 (a copy of which is returned herewith), and in accordance with the provisions of 37 C.F.R. §§ 1.821-1.825, Applicants submit herewith a "Sequence Listing" in both paper copy (7 pages) and computer readable form.

Applicants submit herewith a computer readable copy of the substitute "Sequence Listing" on a 3½ inch diskette, in ASCII format as required by 37 C.F.R. § 1.821(e). In accordance with the provisions of 37 C.F.R. § 1.821(f), the undersigned hereby states that the content of the computer readable disk copy of the substitute "Sequence Listing" and the paper copy of the "Sequence Listing" submitted herewith are the same.

Support for the substitute Sequence Listing can be found in the specification as originally filed, for example, on page 36, lines 3-10, page 37, lines 1-16 and throughout the application as originally filed. Accordingly, Applicants assert that no new matter is introduced by way of the submission.

The undersigned certifies his belief that the Sequence Listing information recorded in computer readable form (CRF) is identical to the written (on compact disc) Sequence Listing submitted herewith.

Applicants additionally request the specification be amended as submitted below to conform with the Sequence Listing request.